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10/501,291

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EXAMINER

ARIANI, KADE

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PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| | | | |
|------------------------------|--------------------------------------|--|--|
| Office Action Summary | Application No. 10/501,291 | Applicant(s) YONEHARA ET AL. | |
| | Examiner Kade Ariani | Art Unit 1651 | |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 21 October 2009.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1,2 and 4-11 and 13-31 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1,2,4-11 and 13-31 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date <u>10/21/2009</u> . | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

The amendment filed on October 21, 2009 has been received.

Claims 1, 2, and 4-11, and 13-31 are pending in this application and were examined on their merits.

Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

The rejection of claims 1, 2, and 4-11, and 13-31 under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention, is withdrawn.

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

The rejection of claim 31 under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention, is withdrawn.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 1, 2, and 4-11, and 13-31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Komori et al. (European patent application, EP1 002874 A2, Published June 24th, 2000) in view Yoshida et al. (Eur. J. Biochem., 1996, Vol. 242, 499-505) and Ishimaru et al. (Patent number 6,127,138, Date of Patent Oct. 3, 2000), and further in view of Montellano et al. (Biochemistry, 1988, Vol. 27, pp. 5470-5476) and of Kwan et al. (US patent No. 5,556,788), and of Fry et al. (J. Nutr., 1982, Vol. 112, p.1631-p.1737).

Claims 1, 2, and 4-11, and 13-31 are drawn to a method of measuring an amount of a glycated protein as an analyte in a sample, comprising: causing a fructosyl amino acid oxidase (FAOD) for degradation (degradation FAOD) to the sample as a

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pretreatment so that a free amino acid that is glycated present in the sample as a contaminant is degraded and removed from the sample by the degradation FAOD, the pretreatment further comprises removing hydrogen peroxide generated from a redox reaction between the degradation FAOD and the free amino acid that is glycated, adding a protease to the sample to give a degradation product of the analyte remaining in the sample, adding a fructosyl amino acid oxidase for measurement (measurement FAOD) to the sample treated with the protease to cause a redox reaction between the measurement FAPD and the degradation product of the analyte, and measuring an amount of hydrogen peroxide generated by the redox reaction to determine the amount of the analyte, wherein the redox reaction is conducted in the presence of a tetrazolium compound and sodium azide, wherein the measurement FAOD is added after the adding of the protease to the sample, wherein the measurement of the amount of hydrogen peroxide comprises adding a color-developing substrate to allow a redox reaction between the color-developing substrate and the hydrogen peroxide, and measuring an amount of color developed by the color-developing substrate to determine the amount of hydrogen peroxide further comprises, adding N-(carboxymethylaminocarbonyl)-4,4'-bis(dimethylamino) diphenylamine sodium salt as a color-developing substrate to a reaction solution of the redox reaction in the presence of a surfactant, a concentration of the tetrazolium compound in the reaction solution is in a range from 0.5 to 8 mmol/l, a concentration of the sodium azide in the reaction solution is in a range from 0.08 to 0.8 mmol/l, a concentration of the surfactant in the reaction solution is in a range from 0.3 to 10 mmol/l, and a pH of the reaction solution is in a

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range from 7.0 to 8.5, the tetrazolium compound is 2-(4-iodophenyl)-3-(2,4-dinitrophenyl)-5- (2,4-disulfophenyl)-2H-tetrazolium salt, and a measuring kit for measuring a glycated protein.

Komori et al. teach a method of measuring an amount of a glycated protein as an analyte in a sample, comprising: causing a fructosyl amino acid oxidase (FAOD) to act on a glycated amino acid present in the sample so that the analyte remains in the sample and the glycated amino acid is removed from the sample by degradation; degrading the analyte with a protease to give a degradation product of the analyte either before or after causing the fructosyl amino acid oxidase to act on the glycated amino acid; then causing a fructosyl amino acid oxidase to act on a proteolytic degradation product of the analyte to cause a redox reaction in the presence of a tetrazolium compound and sodium azide; and measuring an amount of hydrogen peroxide generated by the redox reaction to determine the amount of the analyte, wherein the measurement of the amount of hydrogen peroxide comprises adding a color-developing substrate to allow a redox reaction between the color-developing substrate and the hydrogen peroxide (page 2, 0002-0004, and page 4 0029 and 0030). Komori et al. teach adding a tetrazolium compound prior to the redox reaction or pretreating a sample with a tetrazolium compound to eliminate the influence of any reducing substance (Page 2 0010, and page 8 0072), and further teach the formation of hydrogen peroxide due to the oxidation of glycated proteins by the action of FAOD enzyme, and further teach both glycated peptides (proteins) and glycated amino acids can be subjected to the action of FAOD and glycated proteins and peptides are treated with a protease before its

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treatment with FAOD (Page 4, Lines 7-9). Komori et al. teach the color developing substrate N- (carboxymethylaminocarbonyl)-4,4'-bis(dimethylamino) diphenylamine sodium salt (DA-64) as (Page 4, Lines 3-5) and teach adding a surfactant so that its concentration in the treating solution falls in the range of 0.01- 5% by weight (Page.6 Line 5) and the concentration of tetrazolium compound (WST-3) is 1 mmol/L (Page 8, Lines 26 and 27). Komori et al. teach a peroxidase (POD) having a concentration equal to 219 KU/L (Page 17, 0095) and a reducing agent are added to the sample (Page 2, Line 12). Sigma-Aldrich catalogue discloses an active form of metalloproteinase in 10mM MES buffer, containing 0.25 mM sodium chloride and 5 mM calcium chloride and 0.01% sodium azide. Komori et al teach non-ionic surfactants such as Triton X-100 series, Tween series, Brij series and the like (page 5-6, 0044). The pretreatment is usually carried in a buffer and further recites CHES, CAPSO, CAPS, phosphate, Tris, EPPS, HEPES, pH range 8-12 (Page 6, 0047). Komori et al. teach FAOD treatment is carried out in the protease treatment solution for which a Tris-HCl, EPPS, or PIPES buffer can be used and the concentration of FAOD in the reaction solution is 50-50,000 U/L and pH of 6-9 (Page 6, 0052,0055) and 0.146 mM DA-64 (Page 17, 0095). Komori et al. also teach uricase (page7, 0066) and bilirubin oxidase (Page 7, 0064). Komori et al. teach also teach hydrogen peroxide formed by oxidation of glycated amino acids with FAOD (page 3 0030). Komori et al. teach FAOD treatment can be done separately or simultaneously, protease treatment + FAOD treatment (step 3), FAOD treatment + redox treatment (step 4), and the order of adding the FAOD is not limited (p.7 0061 step 3 and 0062). Therefore, Komori et al. teach pretreatment with a measurement) FAOD,

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and adding a measurement FAOD during the redox reaction. Komori et al. further teach conditions of the FAOD treatment are determined as appropriate depending on the type of FAOD used, the type and the concentration of the glycosylated proteins (p.6 0054).

Komori et al. do not teach a fructosyl amino acid oxidase for degradation, a fructosyl amino acid oxidase for measurement, aging a solution containing tetrazolium compound, and sodium azide, a free amino acid that is glycosylated, removing the hydrogen peroxide using a catalase, and a measuring kit. However, Yoshida et al. teach a fructosyl amino acid oxidase (FAOD) which is active towards a glycosylated amino acid (fructosyl-Z-lysine), and that the enzyme did not use glycosylated proteins directly as its substrate, but it only used glycosylated protein (glycosylated human serum albumin or HAS) as substrate after it was treated with a protease (Abstract lines 1-4 and "Materials and Methods", Substrates, line 1). Yoshida et al. further teach FAODs with different substrate specificities, applicable in the enzymatic measurement of glycosylated proteins, for measurement of glycosylated albumin and glycosylated HbA (Introduction 2nd column 1st paragraph and p.503 Table 4., see 1st and 3rd columns). The FAOD(s) taught by Yoshida et al. are equivalent of the FAOD(s) enzymes disclosed in the specification and perform the same function specified in the claims. Therefore, the claimed "degradation FAOD" is met by Yoshida et al., Yoshida et al. further teach the amount of total glycosylated serum protein is known to be a more sensitive indicator of the great fluctuations in the blood glucose level generally associated with insulin-dependent diabetes. The FAOD from *P. janthinellum*, which showed higher activity toward Fru-Val, is expected to be

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applicable to the enzymatic determination of HbA, whose N-terminal valine residue is glycosylated (p.504 2nd column 3rd paragraph).

Moreover, Fry et al. teach the formation of free amino acids that are glycosylated in parenteral nutritional solutions used for intravenous feeding (reaction products between glucose and free amino acids in glucose and amino acid solutions) (p.1631 Introduction 1st column 1st paragraph lines 1-6, and p.1636 1st column 2nd paragraph). Fry et al. further teach these products can enter circulation of the patients infused with these solutions during intravenous feeding (p.1636 2nd column 3rd paragraph).

Kwan et al. teach storing a reagent comprising tetrazolium compound by leaving the solution to stand at temperature in the range of 20-60°C for 6 to 120 hours (column 4 lines 46-48), adding sodium azide to a control reagent and incubating for 4 days at 37°C (column 6 lines 37-50).

Ishimaru et al. teach a method of removing the hydrogen peroxide generated by the FAOD (FAOD reaction product) by using catalase (column 9 lines 27-39).

Montellano et al. teach azide anion inhibit catalase and horseradish peroxidase, and using 0.15-0.6 mM sodium azide (p.5470 Introduction, p. 5471, 3rd Paragraph).

Further motivation is in Ishimaru et al. who teach measuring an amount of a glycosylated protein in a sample by causing an oxidoreductase (an enzyme that catalyzes an oxidation-reduction or redox reaction) to act on glycosylated protein and measuring the amount of the product based on the action of the enzyme (Col.1, lines 61-66). Ishimaru et al. teach measuring a glycosylated protein for the purpose of the diagnosis of diabetes

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and further teach the method is applicable to a general-purpose examining apparatus with lower cost for a shorter period of time (Col. 2, Lines 41-44).

Therefore, in view of the above teachings, a person of ordinary skill in the art at the time the invention was made, knowing the presence of a contaminant glycated amino acid in the sample (that can interfere with the measurement) and the substrate specificities of the FAOD enzymes, would have been motivated to try and to modify the method as taught by Komori et al. by using FAOD enzyme(s) as taught by Yoshida et al. to degrade/remove a contaminant glycated amino acid present in a sample in order to provide a method for measuring an amount of glycated protein in a sample with a reasonable expectation of success, because Komori et al. teach glycated amino acids can be subjected to the action of FAOD, and because Yoshida et al. teach degradation FAOD that are applicable in the enzymatic measurement of glycated proteins. The motivation as taught by Yoshida et al. would be to provide a more sensitive enzymatic method. Moreover, a person of ordinary skill in the art at the time the invention was made, knowing the presence of a contaminant glycated amino acid in the sample and the formation of hydrogen peroxide during oxidation of the glycated amino acids with FAOD, would have been motivated to modify the method as taught by Komori et al. by removing the hydrogen peroxide generated from a redox reaction between the degradation FAOD and the glycated free amino acid, and by adding sodium azide to the reagent according to the teachings of Ishimaru et al. and Montellano et al. to provide a method for measuring an amount of glycated protein in a sample with a reasonable expectation of success, because Ishimaru et al. teach removing the hydrogen peroxide

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generated by the FAOD, and because Montellano et al. teach azide anion inhibit catalase, and using 0.15-0.6 mM sodium azide. The motivation as taught by Montellano et al. would be the ability of sodium azide to inhibit catalase and to prevent bacterial contamination.

Furthermore, once the method of measuring an amount of a glycated protein in an analyte was established, providing a measuring kit to determine the amount of the glycated protein would become obvious. The motivation as taught by Ishimaru et al. would be to provide a kit for the purpose of the diagnosis of diabetes.

Answer to Arguments

Applicant's arguments filed on 10/21/2009 have been fully considered but they are not persuasive.

Applicant argues that Komori do not teach whether the FAOD can be added before the protease treatment so as to allow the FAOD to act on a glycated amino acid present in the sample and thereby degrade the glycated amino acid present in the sample, and Komori does not provide any guidance or any experimental data showing that the FAODs, would be functional if they were added prior to the addition of the protease, and none of the cited references remedy the deficiencies of Komori.

However, as mentioned immediately above, Yoshida et al. teach a fructosyl amino acid oxidase (FAOD) which is active towards a glycated amino acid (fructosyl-Z-lysine) and did not use glycated proteins and ca only use glycated proteins after

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protease treatment. Therefore prior art teach a FAOD which is functional if they were added prior to the addition of the protease.

Furthermore, as mentioned immediately above, Fry et al. teach the formation of free amino acids that are glycosylated in parenteral nutritional solutions used for intravenous feeding (reaction products between glucose and free amino acids in glucose and amino acid solutions) (p.1631 Introduction 1st column 1st paragraph lines 1-6, and p.1636 1st column 2nd paragraph). Fry et al. further teach these products can enter circulation of the patients infused with these solutions during intravenous feeding (p.1636 2nd column 3rd paragraph). Therefore, a person of ordinary skill in the art at the time the invention was made would have realized that glycosylated free amino acids, could be present in blood sample, for example after intravenous feeding as a contaminant, and their presence could interfere with the enzymatic measurements of a glycosylated protein using a FAOD which is active towards both a glycosylated amino acid and a glycosylated protein after protease treatment.

Conclusion

No claims are allowed.

THIS ACTION IS MADE FINAL. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within

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TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kade Ariani whose telephone number is (571) 272-6083. The examiner can normally be reached on IFP.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael Wityshyn can be reached on (571) 272-0926. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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Kade Ariani
Examiner
Art Unit 1651

/Leon B Lankford/
Primary Examiner, Art Unit 1651